

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	10/789,164	02/27/2004	Moon-Hee Sung	P1574	8088
	7590 10/23/2006 LaRiviere, Grubman & Payne, LLP P.O. Box 3140			EXAMINER	
				VOGEL, NANCY S	
	Monterey, CA	93942		ART UNIT	PAPER NUMBER
				1636	

DATE MAILED: 10/23/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/789,164	SUNG ET AL.				
Office Action Summary	Examiner	Art Unit				
	Nancy T. Vogel	1636				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13  after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  16(a). In no event, however, may a reply be tim  rill apply and will expire SIX (6) MONTHS from  cause the application to become ABANDONED	l. ely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This 3) ☐ Since this application is in condition for allowant	Responsive to communication(s) filed on 31 July 2006. This action is FINAL.  2b) This action is non-final. Since this application is in condition for allowance except for formal matters, prosecution as to the ments is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
<ul> <li>4)  Claim(s) 1-3,5-8,11 and 12 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 1-3, 5-8, 11 and 12 is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or election requirement.</li> </ul>						
Application Papers						
9) The specification is objected to by the Examiner.  10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other:	nte				

Art Unit: 1636

## **DETAILED ACTION**

Claims 1-3, 5-8, 11 and 12 are pending in the case.

## Response to Amendment

Any rejection of record in the previous action not addressed in this office action is withdrawn. There are no new grounds of rejection that were not necessitated by applicants' amendment and therefore, this action is final.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

## Claim Objections

Claims 1 and 5 are objected to because of the following informalities: applicant has changed every incidence of "antibiotics" to "antibiotic"; however, in some instances, i.e. line 1 of claim 1 and line 2 of claim 5, the plural form is proper. Appropriate correction is required.

## Claim Rejections - 35 USC § 112

Claims 5 and 7 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

This rejection is maintained essentially for the reasons made of record in the previous Office action, mailed 3/31/06. Applicant's arguments filed 7/31/06 have been considered but have not been found convincing.

Applicants have argued that they have specifically identified the biological deposit related to the claims at issue, and have amended the specification to provide detail s about the biological deposit of JM109/pHCE1LB:pgsA-P5, with accession number KCTC 10350BP previously identified in paragraph 55 of the application as filed. Applicants also state that they believe that the deposited material has been accepted for deposit under the Budapest Treaty (page 7 of the arguments). However, applicants have not fully complied with the requirements for deposit of biological material, since they have not averred that "all restrictions on the availability to the public of the material so deposited will be irrevocably removed upon the granting of a patent"; see the attachment to the previous Office action, titled Suggestion for Deposit of the Biological Material. Therefore the rejection is maintained.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-3, 5-8, 11 and 12 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 by dependence and by dependence claims 2, 3, 5-8, 11 and 12 are vague and indefinite since it is not clear from the claim that the "gene encoding P5 with

Art Unit: 1636

antibacterial, antifungal and anticancer activities" is the "antibiotic" recited in line 1 of claim 1. Furthermore, it is unclear how the recited pgsB, pgsC and pgsA allow for the "surface expression of antibiotic [sic]", i.e. it is not clear whether the P5 gene is somehow linked or fused to the genes for the poly-gamma-glutamate synthetase complex thus allowing surface expression of the P5 peptide.

## Conclusion

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nancy T. Vogel whose telephone number is (571) 272-0780. The examiner can normally be reached on 6:30 - 3:00, Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Irem Yucel, Ph.D. can be reached on (571) 272-0781. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/789,164

Art Unit: 1636

Page 5

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

NV 10/12/06

PRIMARY EXAMINER